UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

12/11/2002

DAVID F. DOCKĖY MARSH FISCHMANN & BREYFOGLE LLP 3151 S. VAUGHN WAY, SUITE 411 AURORA, COLORADO, CO 80014 EXAMINER

NILAND, PATRICK DENNIS

ART UNIT CLASS-SUBCLASS

1714 428-570000

DATE MAILED: 12/11/2002

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/698,363      | 10/27/2000  | Toivo T. Kodas       | SMP-004-4-1         | 3474             |

TITLE OF INVENTION: GOLD POWDERS, METHODS FOR PRODUCING POWDERS AND DEVICES FABRICATED FROM SAME

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO           | \$1280    | \$0             | \$1280           | 03/11/2003 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED.</u> THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

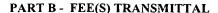
B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

 Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.





Complete and send this form, together with applicable fee(s), to: Mail Box ISSUE FEE

Commissioner for Patents Washington, D.C. 20231

(703)746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1) Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other 7590 12/11/2002

ISSUE FEE

\$1280

ART UNIT

1714

DAVID F. DOCKEY MARSH FISCHMANN & BREYFOGLE LLP 3151 S. VAUGHN WAY, SUITE 411 AURORA, COLORADO, CO 80014

FILING DATE

10/27/2000

SMALL ENTITY

NO

TITLE OF INVENTION: GOLD POWDERS, METHODS FOR PRODUCING POWDERS A

APPLICATION NO.

09/698 363

APPLN. TYPE

nonprovisional

**EXAMINER** 

NILAND, PATRICK DENNIS

| accompanying papers. Each additional paper, such as an assignment o formal drawing, must have its own certificate of mailing or transmission. |  |   |   |  |
|---|--|---|---|--|
|   | I hereby certify<br>United States Pos<br>envelope address  | Certificate of Mailing or Trans that this Fee(s) Transmittal is stal Service with sufficient postag ed to the Box Issue Fee address USPTO, on the date indicated be | being deposited with the<br>e for first class mail in an<br>above, or being facsimile |  |
|   |  | 1   | (Depositor's name   |  |
|   |  |   | (Signature  |  |
|   |  |   | (Date   |  |
| FIRST NA  | MED INVENTOR   | ATTORNEY DOCKET NO.   | CONFIRMATION NO.  |  |
| Toi   | vo T. Kodas  | SMP-004-4-1   | 3474  |  |
| CING PO   | WDERS AND DEVICES FABR   | CICATED FROM SAME   |   |  |
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| E   | PUBLICATION FEE  | TOTAL FEE(S) DUE  | DATE DUE  |  |
|   | \$0  | \$1280  | 03/11/2003  |  |
|   | CLASS-SUBCLASS   |   |   |  |
|   | 428-570000   |   |   |  |
| the<br>or<br>sin<br>atte  | For printing on the patent fron names of up to 3 registered p agents OR, alternatively, (2) t gle firm (having as a membeomey or agent) and the name istered patent attorneys or agent isted, no name will be printed. | atent attorneys the name of a or a registered es of up to 2   |   |  |
| ГНЕ РАТ   | ENT (print or type)  |   |   |  |
| ta will ap<br>arate cove  | pear on the patent. Inclusion of<br>er. Completion of this form is NO  | assignee data is only appropriate<br>OT a substitute for filing an assign   | when an assignment has  |  |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printin the names of or agents OR ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. single firm ( attorney or a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered pate is listed, no na 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the been previously submitted to the USPTO or is being submitted under separate cover. Complete (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Please check the appropriate assignee category or categories (will not be printed on the patent) ☐ individual ☐ corporation or other private group entity ☐ government 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): ☐ A check in the amount of the fee(s) is enclosed. Issue Fee D Payment by credit card. Form PTO-2038 is attached. ☐ Publication Fee The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_(enclose an extra copy of this form). ☐ Advance Order - # of Copies Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Date) (Authorized Signature) NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or off interest as shown by the records of the United States Patent and Trademark Office. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR      | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|--|-------------|---------------------------|-------------------------|------------------|
| 09/698,363   | 10/27/2000  | 10/27/2000 Toivo T. Kodas |                         | 3474             |
| 7590 12/11/2002  DAVID F. DOCKEY  MARSH FISCHMANN & BREYFOGLE LLP 3151 S. VAUGHN WAY, SUITE 411 AURORA, COLORADO, CO 80014 |             |                           | EXAMINI                 | ER               |
|  |             | NILAND, PATRICK DENNIS    |                         |                  |
|  |             | ART UNIT                  | PAPER NUMBER            |                  |
|  |             |                           | 1714                    |                  |
|  |             |                           | DATE MAILED: 12/11/2002 |                  |

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The patent term adjustment to date is 0 days. If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the term adjustment will be 0 days.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

Any questions regarding the patent term extension or adjustment determination should be directed to the Office of Patent Legal Administration at (703)305-1383.



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.usblo.gov

| APPLICATION NO.                             | FILING DATE                           | FIRST NAMED INVENTOR    | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---------------------------------------|-------------------------|---------------------|------------------|
| 09/698,363                                  | 10/27/2000                            | Toivo T. Kodas          | SMP-004-4-1 3474    |                  |
| 7:  | 590 12/11/2002                        |                         | EXAMIN              | ER               |
| DAVID F. DOCKEY                             |                                       | NILAND, PATRICK DENNIS  |                     |                  |
| MARSH FISCHM.<br>3151 S. VAUGHN             | ANN & BREYFOGLE LLP<br>WAY, SUITE 411 |                         | ART UNIT            | PAPER NUMBER     |
| AURORA, COLORADO, CO 80014<br>UNITED STATES |                                       | 1714                    |                     |                  |
|   |                                       | DATE MAILED: 12/11/2002 |                     |                  |

# Notice of Fee Increase on January 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after January 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on January 1, 2003. See Revision of Patent and Trademark Fees for Fiscal Year 2003; Final Rule, 67 Fed. Reg. 70847, 70849 (November 27, 2002).

The current fee schedule is accessible from: http://www.uspto.gov/main/howtofees.htm.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after January 1, 2003 (or mailed with a certificate of mailing on or after January 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

|  |  |  | mx-12        |  |  |  |
|--|--|--|--------------|--|--|--|
|  | Application No.                          | Applicant(s)   |              |  |  |  |
| A CONTRACTOR AND A CONT | 09/698,363                               | KODAS ET AL.   |              |  |  |  |
| Notice of Allowability   | Examiner                                 | Art Unit   |              |  |  |  |
|  | Patrick D. Niland                        | 1714   |              |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included nerewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.   |  |  |              |  |  |  |
| 1. This communication is responsive to letter no. 10 of 10/25/   | <u>′02</u> .                             |  |              |  |  |  |
| 2. The allowed claim(s) is/are 104-110 and 112-167.  | •  |  |              |  |  |  |
| <ul><li>The drawings filed on are accepted by the Examine</li><li>Acknowledgment is made of a claim for foreign priority und</li></ul>   |  |  |              |  |  |  |
| a) ☐ All b) ☐ Some* c) ☐ None of the:  | ler 33 0.3.0. 8 1 19(a)-(d) or (1).      |  |              |  |  |  |
| 1. ☐ Certified copies of the priority documents have   | been received.                           |  |              |  |  |  |
| 2. Certified copies of the priority documents have   |  | ·  |              |  |  |  |
| 3. ☐ Copies of the certified copies of the priority doc  |  |  | ion from the |  |  |  |
| International Bureau (PCT Rule 17.2(a)).   |  |  |              |  |  |  |
| * Certified copies not received:   |  |  |              |  |  |  |
| 5. Acknowledgment is made of a claim for domestic priority ur  | nder 35 U.S.C. § 119(e) (to a provisio   | onal application).   |              |  |  |  |
| (a) The translation of the foreign language provisional a  | pplication has been received.            |  |              |  |  |  |
| 6. Acknowledgment is made of a claim for domestic priority ur  | nder 35 U.S.C. §§ 120 and/or 121.        |  |              |  |  |  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of to the comply will result in ABANDONMENT of the complex to the complex | this application. THIS THREE-MON         | ITH PERIOD IS NOT I  | EXTENDABLE.  |  |  |  |
| NFORMAL PATENT APPLICATION (PTO-152) which gives reas  |  |  |              |  |  |  |
| 3. 🔀 CORRECTED DRAWINGS must be submitted.   |  |  |              |  |  |  |
| (a) including changes required by the Notice of Draftspers   | son's Patent Drawing Review ( PTO-       | 948) attached  |              |  |  |  |
| 1) ☐ hereto or 2) ☐ to Paper No  |  |  |              |  |  |  |
| (b) including changes required by the proposed drawing correction filed, which has been approved by the Examiner.  |  |  |              |  |  |  |
| (c) I including changes required by the attached Examiner  | s Amendment / Comment or in the C        | Office action of Paper N   | No           |  |  |  |
| Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the top margin (not the back) of each sheet. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson.   |  |  |              |  |  |  |
| DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.   |  |  |              |  |  |  |
| Attachment(s)  |  |  |              |  |  |  |
| <ul> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statements (PTO-1449), Paper No. 11</li> <li>Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ul>   | 4☐ Interview Summa<br>6☐ Examiner's Amer | Patent Application (Fary (PTO-413), Paper Indment/Comment ment of Reasons for Apartick D. Niland Primary Examiner Art Unit: 1714 | No           |  |  |  |